SYMPOSIUM

Perspectives on the Genetic Architecture of Divergence in Body Shape in Sticklebacks

Duncan T. Reid*† and Catherine L. Peichel†*

*Division of Human Biology, Fred Hutchinson Cancer Research Center, Seattle, WA 98109, USA; †Molecular and Cellular Biology Graduate Program, University of Washington, Seattle, WA 98195, USA


1E-mail: cpeichel@fhcrc.org

Synopsis The body shape of fishes encompasses a number of morphological traits that are intrinsically linked to functional systems and affect various measures of performance, including swimming, feeding, and avoiding predators. Changes in shape can allow a species to exploit a new ecological niche and can lead to ecological speciation. Body shape results from the integration of morphological, behavioral and physiological traits. It has been well established that functional interdependency among traits plays a large role in constraining the evolution of shape, affecting both the speed and the repeated evolution of particular body shapes. However, it is less clear what role genetic or developmental constraints might play in biasing the rate or direction of the evolution of body shape. Here, we suggest that the threespine stickleback (Gasterosteus aculeatus) is a powerful model system in which to address the extent to which genetic or developmental constraints play a role in the evolution of body shape in fishes. We review the existing data that begins to address these issues in sticklebacks and provide suggestions for future areas of research that will be particularly fruitful for illuminating the mechanisms that contribute to the evolution of body shape in fishes.

Introduction: why do we need to learn about the genetics of body shape?

If we want to understand why and how the shapes of fishes have evolved, it is necessary to consider whether there are genetic or developmental constraints that limit the phenotypes available for selection. In this paper, we will adopt the definition of constraint used by Walker (2007): “constraints are features of systems that bias the rate and direction of phenotypic evolution”. If there are constraints that affect the “rate” of evolution, a trait may evolve more quickly or more slowly, while if there are constraints that affect the “direction” of evolution, some phenotypes may be more likely to evolve than others.

The rate or direction of phenotypic evolution can be biased due to functional constraints resulting from the fact that individual morphological, behavioral and physiological traits can affect multiple functional systems; such functional integration can bias patterns of variation (Arnold 1992; Endler 1995; Walker 2007). In addition, any given phenotype is subject to many different selective pressures, which can lead to functional trade-offs (Reznick and Travis 1996; Reznick and Ghalambor 2001; Ghalambor et al. 2003). For example, there is a relationship between body shape and swimming performance, but body shape is also influenced by foraging behavior, the risk of predation, and stream velocity (Webb 1984; Walker 1997). Thus, the interaction between many different phenotypic traits and many different selective pressures can create functional constraints, such that only those changes with the greatest positive and fewest negative effects on fitness will be selected.

Although there is good evidence that functional constraints play a role in the evolution of the body shape of fishes, the extent to which genetic or developmental constraints bias the rate or direction of the evolution of body shape is less well understood. The concept of genetic bias was first articulated by...
Haldane (1932), who proposed that closely related species might exhibit the same phenotypic traits in similar environments (parallel evolution) because of shared genetic constraints. Similarly, it has been proposed that shared developmental constraints might also produce similar phenotypes in closely related species (Maynard Smith et al. 1985; Wake 1991; Shubin et al. 1995; West-Eberhard 2003). Thus, although natural selection is strongly implicated when parallel evolution is observed (Endler 1986), genetic or developmental bias may also play an important role (Schluter 1996). Because similar body shapes have evolved repeatedly in similar environments across disparate species of fish as well as among closely related species, the body shape of fishes provide an excellent system in which to investigate the contribution of genetic and developmental constraints to evolution.

In particular, investigating the genetic basis of parallel evolution in the body shape of fishes provides an opportunity to tease apart the effects of natural selection and genetic constraint (Schluter et al. 2004). For example, if different genes underlie the same phenotypic changes in closely related species, natural selection, rather than shared genetic constraint, is strongly implicated. However, if the same genes underlie the same phenotypic changes in closely related species, genetic constraint may play a role. In this case, it is necessary to further disentangle whether the same genes are repeatedly involved due to selection on existing standing variation or due to new mutations at the same locus (Barrett and Schluter 2008). Finding evidence for new mutations at the same locus that underlie the same phenotype in independent populations provides strong evidence that genetic constraint might play a role.

In addition to investigating the genetic basis of parallel evolution, more detailed studies of the genetic architecture of divergence in body shape will provide new insights into the role of genetic and developmental constraints in the evolution of shape. For example, the number of genes that contribute to a particular phenotype or the number of phenotypes that a particular gene affects (i.e. pleiotropy) may bias the rate and direction of the evolution of body shape. In addition, the location of genes within the genome may also bias the evolution of body shape. For example, tight linkage between several genes that affect shape phenotypes might allow rapid adaptation to a new environment. Furthermore, if genes are linked to sex chromosomes, differences in shape between the sexes rather than between populations may evolve. Despite the importance of investigating the genetic architecture of divergence in body shape, very little work has been done in any fish species. Below, we explore how recent developments in sticklebacks have already contributed to our knowledge of the genetic basis of evolution of body shape in fishes and outline future work that will provide additional insight into the role of genetic and developmental constraint in this process.

**Why are sticklebacks a good system to study the genetics of body shape?**

Threespine sticklebacks (*G. aculeatus*) are small, telost fish that are found in both marine and coastal freshwater populations throughout the Northern hemisphere. Most freshwater populations were established within the past 12,000 years since the end of the last ice age, when ancestral marine sticklebacks invaded newly created freshwater lakes and streams, with each freshwater population an independent evolutionary event (Bell and Foster 1994). During this time, freshwater sticklebacks have diverged in behavioral, physiological and morphological traits, including body shape as detailed below. In addition, sticklebacks have invaded similar habitats many independent times, providing an opportunity to examine the extent to which similar morphological changes evolve in response to similar environmental conditions. The replicated evolution of similar changes in body shape further provides an opportunity to determine whether the same genetic changes are involved; addressing this question will provide important insights into the potential role of genetic and developmental constraints in the evolution of body shape.

**Genetically based adaptive divergence in body shape**

Stickleback populations living in different habitats have diverged considerably in shape. The ancestral marine form is pelagic and migratory (Bell and Foster 1994; Walker and Bell 2000) and has a relatively streamlined shape characterized by a large head, deep body, narrow peduncle, anteriorly-placed and widely-spaced dorsal spines, a posteriorly-placed pelvis and longer median fins (Walker and Bell 2000; Spoljaric and Reimchen 2007). This streamlined body form is thought to facilitate both foraging and cruising in open water (Webb 1982, 1984; Walker 1997).

In freshwater habitats, body shape has diverged in predictable patterns based on whether the fish are foraging on zooplankton in open-water (limnetic) habitats or on macroinvertebrates in littoral
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(benthic) habitats. For example, sticklebacks found in freshwater habitats with a large littoral area generally have shorter, deeper bodies, as well as more posteriorly-placed dorsal spines and shorter median fins (Walker 1997; Walker and Bell 2000; Spoljaric and Reimchen 2007). Deeper bodies are better for maneuvering and foraging in a more complex littoral area (Webb 1982, 1984; Walker 1997). Divergence in shape is also dependent upon the predation regime. For example, in lakes with predatory fish, sticklebacks tend to be larger, and have longer median fins and more anteriorly-placed dorsal spines (Walker 1997; Walker and Bell 2000; Spoljaric and Reimchen 2007). It has been suggested that the anterior placement of the dorsal spines is important when predatory fish are present because sticklebacks are ingested headfirst by piscivorous fish (Reimchen 1991). In the absence of predatory fish, sticklebacks that occupy limnetic habitats or large lakes actually have more streamlined bodies with larger median fins than do marine sticklebacks; this shape is thought to facilitate acceleration during strikes on fast-moving prey (Walker 1997). These studies highlight the fact that body shape in sticklebacks has evolved in response both to foraging habitat and to predation regime (and likely other factors); thus, the evolution of body shape in sticklebacks should be subject to functional constraints.

The repeatability of the evolution of body shape in sticklebacks is further highlighted when examining pairs of stickleback populations that are found in divergent habitats within the same watershed (McPhail 1994; McKinnon and Rundle 2002). For example, marine sticklebacks have repeatedly invaded freshwater streams, independently establishing resident stickleback populations in streams across the Northern hemisphere (Bell and Foster 1994; McKinnon et al. 2004; Colosimo et al. 2005). Consistent with living in a more littoral habitat, sticklebacks that are resident in streams have a deeper body and a reduction in median fin length relative to parapatric marine sticklebacks (Hagen 1967; Schluter et al. 2004). Similar patterns are seen across multiple parapatric pairs of sticklebacks from streams and lakes; sticklebacks living in lakes and foraging on zooplankton in open water have much shallower bodies than do deep-bodied sticklebacks from adjoining streams where they forage on benthic prey (Moodie 1972; Reimchen et al. 1985; Lavin and McPhail 1993; Hendry et al. 2002; Hendry and Taylor 2004; Berner et al. 2008). Finally, within several lakes in British Columbia, two stickleback species have evolved parallel and predicted changes in shape; the streamlined limnetic species forages on zooplankton in the open water, while the deeper-bodied benthic species forages on benthic macroinvertebrates in the littoral zone (Schluter and McPhail 1992). These replicated pairs of marine-stream, lake-stream and benthic-limnetic stickleback pairs thus present a remarkable opportunity to examine the genetic basis of parallel evolution in body shape.

There is evidence that phenotypic plasticity and activity patterns do play a role in establishing differences in body shape between stickleback populations (Day et al. 1994; Spoljaric and Reimchen 2007; Sharpe et al. 2008). However, studies on many different stickleback populations suggest that differences in body shape have a strong genetic component (McPhail 1984, 1992, 1994; Lavin and McPhail 1993; Hendry et al. 2002; Schluter et al. 2004; Leinonen et al. 2006; Spoljaric and Reimchen 2007; Sharpe et al. 2008). Thus, we focus here on the prospects for dissecting the genetic components that contribute to the evolution of body shape in sticklebacks.

Availability of genetic tools and genomic resources

Within the past decade, a number of genetic tools and genomic resources have been developed for the threespine stickleback (Peichel et al. 2001; Hosemann et al. 2004; Kingsley et al. 2004; Kingsley and Peichel 2007; Miller et al. 2007a; Baird et al. 2008), making it possible to identify the chromosomal regions, genes and actual mutations that underlie morphological and behavioral traits that differ among stickleback populations (Peichel et al. 2001; Colosimo et al. 2004, 2005; Cresko et al. 2004; Shapiro et al. 2004; Kimmel et al. 2005; Coyle et al. 2007; Miller et al. 2007b; Albert et al. 2008; Chan et al. 2009; Kitano et al. 2009). As of yet, these genetic tools have only begun to be applied to the study of shape in sticklebacks. We next highlight what has been learned so far as well as avenues for future research using the stickleback system.

Do the same genetic changes underlie parallel changes in body shape?

As outlined in the introduction, the question of whether genetic constraints play a role in the evolution of body shape in fishes can be explicitly examined by studying the genetic basis of parallel evolution. In sticklebacks, there is now a growing literature that examines whether the same genetic changes underlie similar phenotypic differences in independent populations. In some cases, the same traits appear to evolve many times in similar
environments because of selection on existing genetic variation in the ancestral population (Colosimo et al. 2005; Miller et al. 2007b). However, a recent study demonstrated that independent mutations at the same locus underlie the repeated evolution of pelvic reduction in threespine stickleback populations (Chan et al. 2009), strongly suggesting a role for genetic constraint. However, in other stickleback species, different genes are responsible for pelvic reduction (Shapiro et al. 2009). Therefore, we do not yet have a comprehensive view of the role of genetic constraint in the evolution of sticklebacks. Body shape is a promising trait to examine because there are likely many genes involved (Albert et al. 2008), as well as many populations with parallel divergence in body shape.

There are relatively few data on the genetic basis of parallel divergence in body shape. One study of differences in shape between marine and stream-resident sticklebacks from Canada and Japan suggests that the genetic architecture of these differences is similar in independent populations (Schluter et al. 2004), but whether the same genes are involved in differences in shape between marine and stream sticklebacks is unknown. However, there are some hints that the same genes might be involved in differences in shape among three independent benthic-limnetic species pairs. For example, markers on linkage group (LG) 12 differentiate benthics and limnics from Paxton, Priest and Enos lakes (Gow et al. 2006); this same chromosomal region is linked to a quantitative trait locus (QTL) for shape in a cross between a Paxton Lake benthic and a Japanese marine fish (Albert et al. 2008). Furthermore, differences in shape between Enos Lake benthics and limnics map to LG1, 4 and 7 (T. Malek, J. Boughman and C. Peichel, unpublished data); QTL for shape are found in similar regions on these LGs in the above-mentioned cross involving a Paxton Lake benthic fish (Albert et al. 2008). More direct investigations of the genetic basis of divergence in body shape between the benthic-limnetic, as well as marine-stream and lake-stream pairs, should provide insight into the role of genetic constraint in the evolution of body shape in sticklebacks.

How many genes underlie divergence in body shape?

Another way to examine whether genetic constraints play a role in the evolution of body shape is to investigate the overall genetic architecture of differences in shape. For example, we need to address whether differences in body shape can evolve through a few genetic changes of large effect or whether it requires many genes of smaller effect. If few genes are involved, it may be easier to change shape rapidly during adaptation to a new environment. By contrast, if many genes are involved, body shape may be less able to evolve rapidly. Thus, the number of genes involved in changes in body shape might particularly constrain the “rate” of evolution.

Although the number of genetic changes required for the evolution of differences in shape among stickleback populations has not been exhaustively studied, two studies suggested that body shape has a complex genetic basis. Analysis of crosses between marine and stream-resident sticklebacks from Japan and Canada suggest that genetic variation for body shape is mostly additive and polygenic (Schluter et al. 2004). To date, only a single study has used a QTL mapping approach to identify the regions of the genome that contribute to variation in shape among stickleback populations (Albert et al. 2008). In this study, an F2 intercross between a Japanese Pacific Ocean marine stickleback and a Paxton Lake benthic stickleback was analyzed. Twenty-seven landmarks, producing 54 x and y coordinates were measured on 372 F2 individuals. Of these 54 coordinates, 47 were mapped to 26 QTL found on 17 different LGs. The x and y coordinates of the same landmark nearly always mapped to different QTL. Therefore, many different genes contribute to the overall differences in body shape between these populations.

Most of these QTL had relatively small effects on phenotype, although a few QTL of larger effect were identified. The distribution of effect sizes followed a gamma distribution, which is similar to that predicted by the geometric model of Orr (1998) when the inability to detect QTL of very small effect is considered (Otto and Jones 2000). There has been only one other QTL analysis of body shape in fishes; in this study, many QTL of relatively small effect and a few QTL of larger effect contribute to differences in body shape among populations of Atlantic salmon (Boulding et al. 2008). Taken together, these data suggest that the rate of evolution in body shape might be constrained by the lack of mutations that have a large effect on body shape. Additional studies of differences in shape among stickleback populations, as well as other fish species, will be required to rigorously address this question.

Does genetic linkage or pleiotropy contribute to the evolution of body shape?

It is also important to address whether the genes that contribute to the evolution of body shape have
pleiotropic effects on other phenotypes, or whether they are tightly linked to genes that affect other adaptive traits. For example, even if a particular allele has beneficial effects on body shape, it may have detrimental effects on other phenotypes; such antagonistic pleiotropy will constrain both the rate and direction of evolution (Lande 1979; Lande and Arnold 1983; Barton 1990; Keightley and Hill 1990; Otto 2004). Even in the absence of pleiotropy, some genes may preferentially contribute to the evolution of body shape because they are tightly linked to genes that underlie other traits required for adaptation to a particular environment (Grattem et al. 2008).

Thus far, the data on the contributions of linkage or pleiotropy to body shape in sticklebacks are limited to the study by Albert et al. (2008). However, some preliminary conclusions can be drawn. First, despite the fact that most QTL only affected a single x or y coordinate, there were several individual QTL that affected multiple coordinates. These data suggest that although body shape has a complex genetic basis, some aspects of differences in shape among populations are controlled by the same genes or by linked genes; this could facilitate rapid divergence in shape between populations. Second, one of the QTL that affected both head and pelvic landmarks in this cross is linked to the Eda gene, which is the major locus that controls differences in lateral plate number between marine and freshwater sticklebacks (Colosimo et al. 2005). However, it is unlikely that Eda itself is responsible for the differences in shape and more likely that there is linkage between genes for shape and lateral plates (Albert et al. 2008). This study suggests that both linkage and pleiotropy may be important in the evolution of body shape in sticklebacks. Because there are a multitude of phenotypic differences among stickleback populations, comprehensive studies on the genetic architecture of many traits, including shape, will assess the extent to which genetic linkage or pleiotropy plays a role in stickleback adaptation.

Another source of pleiotropy that is important to consider is allometry; i.e., whether divergence in shape is simply the result of differences in body size between individuals of the same age (static allometry) or between individuals of different ages (ontogenetic allometry). There is evidence for both ontogenetic and static allometry in sticklebacks (Walker 1993, 1997; McGuigan et al. this issue). However, the study by McGuigan et al. (this issue) concludes that the evolution of shape in sticklebacks is not simply due to pleiotropic effects resulting from selection for body size. In further support of this hypothesis, size and shape QTL are found in different chromosomal locations (Albert et al. 2008). Although more work is certainly needed in this area, allometry does not appear to be a prevalent source of genetic or developmental constraints in the evolution of body shape in sticklebacks.

**What is the genetic basis of sexual dimorphism in body shape?**

In addition to the repeated patterns of divergence in shape that have been identified in different ecological systems, there are also repeated patterns of divergence in shape between male and female sticklebacks. A number of studies have examined sexual dimorphism in many traits in sticklebacks, but until recently, few studies have used geometric morphometrics to explicitly examine sexual dimorphism in body shape (Leinonen et al. 2006; Kitano et al. 2007; Aguirre et al. 2008; Spoljaric and Reimchen 2008). These recent studies reveal similar divergence in body shape between males and females across many stickleback populations (marine, stream and lake populations from Scandinavia, Japan, Alaska, Canada and Washington State). In general, females are larger with longer pelvic girdles, while males have larger and deeper heads, as well as larger median fins; these patterns are seen both in ancestral marine populations as well as derived freshwater populations (Leinonen et al. 2006; Kitano et al. 2007; Aguirre et al. 2008; Spoljaric and Reimchen 2008).

**Sexual dimorphism—sexual selection or ecological niche partitioning?**

Sexual dimorphism evolves when a trait confers differential fitness effects to males and females. Sexual dimorphism can result from sexual selection (Darwin 1874), but it could alternatively be driven by ecological differences resulting from competition for niche space between the sexes (Slatkin 1984; Shine 1989).

Both sexual selection and ecological niche partitioning may play a role in the evolution of sexual dimorphism in shape in sticklebacks. For example, the larger size of females may be the result of sexual selection: larger females have more eggs (Baker 1994), and males prefer larger females in many populations of sticklebacks (Rowland 1994). In addition, several studies demonstrate that males and females differentially utilize benthic and limnetic habitats within lakes, where they exploit different food sources and are exposed to different predators and parasites (Wootton 1976; Reimchen 1980; Bentzen and McPhail 1984; Reimchen and Nelson 1987; Reimchen and Nosil 2001, 2004, 2006; Reimchen
et al. 2008). Consistent with foraging in a more complex benthic habitat, males have deeper bodies, longer median fins and deeper peduncles, which allow for rapid acceleration and maneuverability (Webb 1982, 1984; Walker 1997). By contrast, the more streamlined bodies of females are more suited for cruising in the open water (Webb 1982, 1984; Walker 1997). In addition, the larger pelvic girdles of females may be protective against avian predators in the open water (Reimchen 1994). In addition to these consistent patterns of sexual dimorphism that suggest niche partitioning between the sexes, Spoljaric and Reimchen (2008) found that the sexual dimorphism was greatest in large, clear lakes and reduced in small, shallow, stained lakes. These data support the idea that differences in ecological opportunity can either promote or constrain the evolution of sexual dimorphism in body shape (Nosil and Reimchen 2005). However, it is still difficult to disentangle the effects of ecological niche partitioning and sexual selection on the evolution of sexual dimorphism in sticklebacks (Shine 1989); both have likely played a role.

**Antagonism between sexual dimorphism and speciation**

As described above, ecologically driven disruptive selection can lead to sexual dimorphism, but disruptive selection can also lead to speciation; these processes may be antagonistic (Bolnick and Doebli 2003). If males and females diverge substantially, then ecological speciation may be inhibited because males and females have already partitioned the available ecological niches, eliminating disruptive selection. Thus, when strong sexual dimorphism exists, disruptive selection on ecological traits, such as body shape, is predicted to be minimal. Only a few empirical studies have explicitly examined whether such a tradeoff between these two phenomena exists. Consistent with the hypothesis of antagonism between ecological sexual dimorphism and speciation, a negative correlation between sexual dimorphism and disruptive selection for gill raker length (a trait associated with trophic ecology) was found within a lake population (Bolnick and Lau 2008). However, there is sexual dimorphism in body shape and other ecologically relevant traits within both benthics and limnetics from the same lake (McPail 1992; Spoljaric and Reimchen 2008). These same traits are highly divergent between benthics and limnetics, suggesting that there is no trade-off between sexual dimorphism and speciation in this system.

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The genetic architecture of sexual dimorphism might also influence whether sexual dimorphism or speciation is the outcome of disruptive selection (Bolnick and Doebli 2003). For example, if the genes that underlie ecologically relevant traits are linked to sex chromosomes, then we might predict sexual dimorphism to evolve more rapidly than would speciation. Theory suggests that sexually dimorphic traits should map to the sex chromosomes, particularly the X chromosome (Rice 1984; Charlesworth et al. 1987). However, the available empirical data across a wide variety of taxa do not always support this prediction (Fairbairn and Roff 2006).

Many of these previous empirical studies have used comparisons between species and populations, whereas studies within populations may be more informative for assessing whether sexually dimorphic traits are preferentially mapped to sex chromosomes (Fairbairn and Roff 2006). The stickleback model is ideal for such a within-population study. There is evidence that sexual dimorphism in body shape does have a strong genetic component (Kitano et al. 2007; Spoljaric and Reimchen 2008). Although no within-population mapping studies have yet been performed in sticklebacks, two studies have used crosses between populations to assess whether sexually dimorphic traits in sticklebacks are preferentially found on sex chromosomes. Body size is sexually dimorphic in many threespine stickleback populations, including marine forms from the Japan Sea and the Pacific Ocean (Kitano et al. 2007); variation in the body size of males between these populations is linked to the X chromosome (Kitano et al. 2009). Interestingly, length of the dorsal spine is only sexually dimorphic in the population from the Japan Sea (Kitano et al. 2007) and variation in the length of the dorsal spine in males maps to a neo-X chromosome found only in the population from the Japan Sea (Kitano et al. 2009).

The only study to explicitly examine the genetic basis of sexual dimorphism in body shape is the previously discussed QTL analysis performed on a cross between a Japanese Pacific Ocean marine female and a Paxton Lake benthic male (Albert et al. 2008). In this study, 30 x and y coordinates mapped to the sex chromosome, indicating that there were differences in the traits between XX females and XY males. However, in this study, direct linkage of traits to the X chromosome was not assessed by examining variation within each sex. Thus, it is still unknown whether sexual dimorphism in body shape is due to preferential linkage to the X chromosome.
Similar patterns of sexual dimorphism have been seen in other stickleback species, such as the blackspotted stickleback (*G. wheatlandi*; Sargent et al. 1984), ninespine stickleback (*Pungitius pungitius*; Ikeda 1933), brook stickleback (*Culaea inconstans*; Moodie 1986), and fourspine stickleback (*Apeltes quadracus*; Blouw and Hagen 1984). Our preliminary work suggests that aspects of sexual dimorphism in body shape do map to the X chromosome in the blackspotted stickleback (Fig. 1). Assessing whether sexual dimorphism in body shape maps to the sex chromosomes across these different species will be particularly revealing, as each stickleback species has a unique sex-chromosome system (Ross et al. 2009). This may reveal whether sex linkage imposes constraints on the evolution of sexual dimorphism in body shape.

**Conclusions**

Future research aimed at elucidating the genetic architecture of stickleback shape will determine whether genetic or developmental constraints play an important role in the evolution of shape across stickleback populations. It will be interesting to determine whether the genetic architecture of body shape differs from that of other complex traits in sticklebacks or in other species. This research in sticklebacks will also have implications for the importance of genetic constraints on shape and ecomorphology in other taxa, elucidating the driving forces behind adaptive radiations and the recapitulation of common body forms.

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